

IMPORTANT REGULATIONS

Please check the current condition book for up-to- date rules and regulations.

PREFERENCE DATE SYSTEM

The Date System has been streamlined February 2015. Horsemen now will get their date for both dirt and turf when they turn in their foal papers to the Maryland Jockey Club horse identifier. Once that is done, the horse will only lose his date if he runs out of town when Maryland tracks are running. Dates will be assigned by the day of the year, not the date of the month. The 2015 dates will be in place until January 1, 2016, when the system will be reset-as it will be every January 1. Everyone will have to have their foal papers in the office on that date and new dates will be assigned from scratch for the year.

1. Preference dates can be established by one of the following:
 - a. turning foal certificates into the racing office
 - b. entering a race to run (no date only entries)
2. Dates must be re-established annually in January.
3. Turf and dirt preference dates will be kept separately. If a race is switched from turf to dirt, all starters will keep the previously established turf date.
4. Horses will receive an E date for both dirt and turf for the date the foal papers are turned in.
5. Horses entering with no date and not getting in a race will receive an E date corresponding to the date of the race for which the entry was taken. Horses with dates established that re-enter but do not get in will keep the previously established date.
6. Each time a horse races, its previous date will be replaced by an R date corresponding to the date of the race.
7. Horses who scratch will receive an S date for the date of the race, unless the race runs with a full field or they were an alternate, in which case they will retain the previous date.
8. Horses who race in another jurisdiction will lose their date except during a break in the Maryland racing schedule exceeding 15 days.
9. The oldest date has first preference. For horses with the same date, an E date has first preference, an R date has second preference, and an S date has third preference. For horses with the same date in the same category (E, R & S), Maryland-breds will have first preference and horses stabled at Maryland Jockey Club facilities will have second preference.
10. Preference dates are good for any type of race or distance with the exception of stakes. Stakes are not considered in the preference system.
11. Preference dates will not supersede the conditions of the race.

12. Claims, transfers or sales have no effect on preference dates.
13. Any horse in the entries in the body of a race other than a stake shall receive no preference.
14. The second half of a same owner entry will not be allowed to draw into the body of a race to the exclusion of a different owner entry except in a stakes but will receive a date if it does not already have one.

ENTRIES

Horses penalized in a race shall not be entitled to any of the allowances in such race. Horses not entitled to the first allowance in a race shall not be entitled to the second, and if not to the second, then to no subsequent allowance. Winner or Non-winner of a stated sum means winner or non-winner of a single race of that value to the first horse. Horses not engaged as starters will not be permitted to exercise on the track between races unless permission is received from the stewards.

Any owner or trainer making an entry in a race for which his or her horse is not eligible is subject to fine by the stewards. It is the responsibility of the person who enters a horse to provide the Racing Secretary with bleeder medication status of the horse on the entry blank and also provide the veterinary office with a "bleeder" certificate if racing from out of state.

COUPLED HORSES – AS OF MARCH 30, 2012

(11) Coupled Horses.

(a) In §F(11) of this regulation, "commonality of ownership" means that the same person, persons, entity, or entities own at least 25 percent of a horse entered in a race and also own at least 25 percent of another horse entered in the same race.

(b) Except in stakes races, horses shall be coupled for betting purposes if: (i) The horses have commonality of ownership.

(c) If a race is split into two or more divisions, horses required to be coupled under §F(11) (b) of this regulation shall be seeded in separate divisions, if possible, but the divisions in which they compete and the post positions shall be determined by lot.

Optional Claiming Races:

1. A winner of an Optional Claiming Race, if entered not to be claimed, shall be considered a winner of an allowance race.
2. A winner of an Optional Claiming Race, if entered to be claimed, shall be considered a winner of a Claiming race.

When stalls have been allocated, they are for the horses of the person to whom assigned. No owner or trainer shall be permitted to share stalls without permission. Any violation of this regulation may result in the offending person being asked to remove his horses from the grounds. Since stall space is at a premium, it is understood that stall space not used in allotment reverts to the Association.

LAUREL / PIMLICO TURF RACE CANCELLATION

If a turf race is cancelled due to weather, track condition, or a decision of the stewards, that same race will be run on the main track distance remaining the same.

Laurel Expectation: 1 mile & 1 /16 turf races shall be transferred to 1 mile on the main track.

Pimlico Expectation: 1 mile turf races shall be transferred to 1 & 1 /16 mile on the main track.

TURF RULES

In all maiden special weight turf races, preference will be given to horses which have not started for less than \$20,000 in their last three starts. Winners are preferred in all winners' turf races

SPECIAL NOTICES

- Horse's entered Main Track Only are **EXPECTED** to run when the race comes off the turf.
- Any Main Track Only not running shall be subject to the same scratch rules and penalties for scratching as any other race. (Veterinarian Scratch.)

The Stronach Group has formally adopted a company-wide policy promoting the humane treatment of racehorses, the company announced Oct. 10. Under the policy, any trainer or owner stabling at an MJC facility who directly or indirectly participates in the transport of a horse from an MJC facility to either a slaughterhouse or an auction house engaged in selling horses for slaughter will be prohibited from having stalls at any MJC facility. The policy also applies to any actions related to the transport of a horse from an MJC facility where the ultimate intended result is a horse's slaughter.

Trainers & Exercise Riders: There will be no jogging the wrong way of the race track during gate schooling hours. A rider who is caught by the outrider or an assistant starter jogging the wrong way when the starting gate is in use will be unable to exercise at a MJC facility ever again.

New Stewards Policy: Riders with the 10 pounds apprentice allowance. For the first (5) five career mounts:

- Can not ride first time starters;
- Can not ride two-year-olds;
- Can not ride turf races.

(09.10.01.09) All racing colors carried in races shall be registered annually with the commission on the application for the owner's license. It shall be the sole responsibility of the trainer to see that his/her owner's colors are in the jockeys' quarters to be used for his/her respective entries. For the failure to do so, the trainer will be subject to fine.

**Fine will be Progressive 1st - Warning 2nd - \$25.00
Each Time Thereafter - \$100**

Management reserves the right to reschedule any stakes race and substitute it for any races which may have been carded.

OK card: Any horse which has not started in the last six months must have an OK card from the starter and veterinarian before starting.

Coggins Test: All horses (including ponies) must have a current negative Coggins test certificate made within the last (12) months. The Coggins test certificate must be attached to the horse's Jockey Club certificate when placed on file in the horse identifier's office.

Stall Space: Acceptance of stall space or entry of a horse in a race at Laurel/Pimlico constitutes an agreement by the trainer and owner to all terms and conditions of Laurel/Pimlico's current stall application, whether or not the application is signed.

Gate Fee: All starters will pay a \$25.00 fee. These fees paid to the Association shall be for the account of the Maryland Horseman's Assistance Fund, to be used exclusively by it to assist needy persons connected with Thoroughbred racing.

Cornell Collar: The following procedures shall be followed in the event a trainer requests the use of this collar (1) A trainer shall submit to the Stewards a recommendation signed by a licensed veterinarian stating the collar: (a) Had been properly fitted to the specific horse (b) The use of the collar would be beneficial to the horse; and (c) Could be safely worn by the horse participating in a race. (2) A trainer shall declare the use of the collar at entry time. (3) A trainer may not remove the collar unless a licensed veterinarian recommends that it should be removed.

STALL ELIGIBILITY

Stalls will not be assigned, and entries will not be accepted for any horse which:

1. Has started for a claiming price of less than \$5,000 unless having finished first, second or third, in a non-claiming race or for a claiming price of \$5,000 or more since starting for less than \$5,000.
2. Has not finished first, second, third or fourth in its last eighth (8) starts.
3. Is a maiden which has started for a claiming price of less than \$5,000.
4. Has not won a race for a claiming price of \$5000 or more or a non-claiming race since starting for a maiden claiming price of less than \$5000.

Starter and Optional Claiming races will be considered non-claiming races in determining eligibility under this rule.

Horses which become ineligible under this rule shall forfeit stall space assigned to them.

VANNING

Horses vanning in for races from other tracks must be in the Receiving Barn two hours before post time for the race in which they are to run unless they are on Lasix. If on Lasix, you must be here 4 hours before your race.

Exceptions are horses in the first and second races; they must be in before 10:45 a.m. The Maryland Jockey Club provides a horse transfer every racing day from the track which is not running (Laurel or Pimlico).

Please contact Joe Sansoti with any questions (301) 266-0914.

DORMITORIES AND STABLES

1. Living quarters must be kept clean. Small radios may be plugged in outlets, otherwise light fixtures are not to be used for anything except lighting.
2. No oil, or electric heaters or cooking units are permitted.
3. As to the punitive powers of the Stewards, see Rule No. 09.10.03.02.
4. Electric wiring is not to be altered by adding additional plugs or wire.
5. Light bulbs of more than 100 watts are not allowed.
6. Sanitary equipment is not to be misused. The wash basins must not be used for washing clothes or equipment.
7. Driving nails and hooks in stable walls and doors is prohibited.
8. Smoking in the shed rows and stalls is strictly forbidden.
9. Manure must be placed in the manure bins at all times.
10. Savings must be placed in shavings bins at all times.
11. Horsemen must conduct themselves in a sober and respectable manner at all times. Drinking parties are not permitted anywhere in the stable area. Anyone under the influence of liquor will not be permitted on the grounds.
12. Hay and straw should be stored in limited quantities, should be kept baled at all times except when in actual use and shall be stored only in such areas as have been approved by the Association.
13. The designated entrances must be used. Fences are not to be damaged in order to get in or out of the grounds. Entering the grounds without authorization is trespassing and violators will be prosecuted.
14. Dogs will not be allowed on the grounds at any time.

15. Automobiles must not be stored under stable sheds at any time. No carts may be parked within 20 feet of fire hydrants. Drivers must strictly observe the posted speed limits. All cars must display parking stickers.

16. Visitors are not allowed in the stable area at any time unless authorized.

17. Trainers shipping in from out-of-town for any race must have all personnel accompanying the horse registered immediately.

18. Trainers will be held responsible for the conduct of their employees while on the grounds of the Association.

**Excerpts from Maryland Racing Commission Rules
Provided as a guide only - Consult Commission for most recent rules**

(.09.10.01) (26). A horse is a “starter” when the stall doors of the starting gate open in front of the horse at the time the starter dispatches the field.

Barred Horses (.09.10.04.12) (A). Barred Horses. If a trainer has in the trainer’s charge a horse whose name appears on a veterinarian’s, starter’s, steward’s, or judge’s list or any other list of horses ineligible to start, commonly known as a “Barred List”, at a race track outside this State, the trainer shall report the name of the horse and the fact that the horse has been barred to the Racing Secretary, as well as to the stewards or judges, at the meeting within the State at which the trainer proposes to enter the horse to race.

Denerved Horses (.09.10.04.13) (A). Denerved Horses or Horses Subjected to a Cryosurgical Procedure. A denerved horse or a horse subjected to a cryosurgical procedure shall be registered with the chief veterinarian on a form provided by the Commission for that purpose.

Claiming. (.09.10.01.07) (A). Except as provided in §A-1 of this regulation, in claiming races a horse is subject to claim for its entered price by: (1) through a licensed owner; (2) An individual authorized to claim in behalf of a registered stable or other multiple ownership entity; or (3) A registered authorized agent in behalf of a person listed in §A(1) or (2) of this regulation. A-1. In claiming races a horse is not subject to claim if: (1) The horse has not started for a minimum of 180 days; (2) The horse is entered for a claiming price at least equal to the claiming price for which the horse last started; (3) For the first race following the period specified in §A-1 (1) of this regulation, the horse is declared ineligible to be claimed at the time of entry; and (4) The program clearly designates that the horse is ineligible to be claimed. (B). Eligibility to claim. (1) Except as provided in §A-1(2) of this regulation, to be eligible to claim, a licensed owner, registered stable, other multiple ownership entity shall designate, as the person who will assume control and responsibility of a claimed horse, a licensed trainer who has been assigned stabling on the grounds under the jurisdiction of the Commission. (2) A licensed owner, registered stable, or other multiple ownership entity that does not have a licensed trainer with assigned stabling on grounds under the jurisdiction of the Commission is eligible to claim only to replace a horse lost to a claim at a track under the jurisdiction of the Commission. **(C.) A person may not be eligible to claim more than one horse in a race, either directly or through another.** (D). A valid claim shall: (1) Be in writing on a form provided by the association; (2) Be in the name of a person qualified to claim a horse; (3) Be signed by the person, or the agent of the person, in whose name the claim is made; (4) Contain the name of the horse to be claimed in a manner sufficient to avoid confusion with the name of another horse in the race; **(5) Name the trainer who will assume control and responsibility of the**

horse to be claimed; (6) Be for the amount printed in the program as the claiming price of the horse; (7) Be enclosed in a sealed envelope, provided by the association, which shall contain no identifying marks on its outside other than the number of the race in which the claimed horse is to participate; (8) Be deposited in the time lock box of the claims clerk at least 10 minutes before the post time for the race in which the claimed horse is to participate; (9) Be supported by funds on deposit at the association in the name of the claimant in an amount sufficient to cover the cost of the claim and any taxes to which the claim may be subject. (E). After the deadline for entering a claim has passed, the stewards or designee shall: (1) Open the claim envelope for the race; (2) After ascertaining compliance with §§D and E of this regulation, if there is more than one valid claim for a horse, conduct a public drawing to determine the successful claimant; and (3) Notify the Racing Secretary of the identity of the successful claimant and the horse claimed. (F). The stewards may require a person who submits a claim for a horse in a claiming race to make an affidavit declaring that the person is claiming the horse for the person's own account or for the account of another as the registered authorized agent for that person. (G). If the stewards determine that a horse was improperly entered in a claiming race, they may; (1) Void a claim of the horse; (2) Order the claiming monies, including all taxes, refunded to the claimant through the Clerk of the Course, the horsemen's bookkeeper, or otherwise; and (3) Order the return of the horse to the original owner.

(.09.10.01.07) (H). Except as provided in §H-1 of this regulation, unless there is a violation of this regulation by the claimant, or the stewards determine that a horse was improperly entered: (1) The claim is irrevocable and the claimed horse becomes the property of the successful claimant from the time the horse is a starter; and (2) A purse earned in the race from which a horse is claimed is the property of the owner from whom the horse is claimed. (.09.10.01.07) (H-1) A claim shall be voided if a horse is a starter and the horse: (1) Dies on the racetrack; or (2) Suffers an injury which requires the euthanasia of the horse, as determined by a State veterinarian, while the horse is on the racetrack.

(.09.10.01.07) (I). After the race has been run, the successful claimant shall present verification of the claim by the Racing Secretary to the person accompanying the claimed horse. (.09.10.01.07) (J). A properly claimed horse shall be delivered to the successful claimant in the: (1) Paddock, immediately after the running of the race, if the horse is not subject to post-race testing; or (2) Detention area, after the horse has undergone post-race testing, if the horse is subject to that testing. (.09.10.01.07) (K). If a trainer refuses to deliver a horse that has been claimed to the successful claimant when verification of the claim is presented in accordance with §J of this regulation, the: (1) Trainer is subject to sanctions; and (2) Claimed horse shall be disqualified from participating in further racing until delivery is made.

(.09.10.01.07) (L). In claiming races, the following acts, or an attempt to commit the following acts, are prohibited: (1) An agreement to claim, or not to claim, a horse belonging to another owner; (2) Preventing an owner from: (a) Claiming a horse, or (b) Legitimately running a horse in a race; (3) The claiming of a horse by: (a) The owner of the horse, (b) Another person for the account of the owner of the horse, or (c) One owner from another if they have the same trainer; and (4) The entry of a horse if there is: (a) A lien or other encumbrance affecting the transfer of title to the horse unless there is written permission from the holder of the lien or other encumbrance, attached to the horse's foal certificate, allowing the horse to be entered in a claiming race; (b) An ownership dispute, or (c) A written prohibition of the horse being entered in a claiming race which is attached to its foal certificate.

(.09.10.01.07) (M). If a horse is claimed: (1) For a period of 30 days from the day of the claim, the horse may start in a claiming race only for a claiming price at 25% more than the claiming

price for which it was claimed; (2) The horse may not be sold or transferred to anyone, wholly or in part, for a period of 30 days from the day of the claim, except: (a) In a claiming race, or (b) When the horse is entered and starts for a claiming price which would cause the horse to become ineligible to be entered at Laurel or Pimlico; (3) Unless reclaimed, the horse may not remain in the same stable or under the control of management of its former owner or trainer for a period of 30 days from the day of the claim; (4) It may not race outside of Maryland for a period of 60 days from the day of the claim except: (a) To participate in a stake; (b) To participate in a claiming race for a price which would cause the horse to become ineligible to be entered at Laurel or Pimlico; or (c) During the scheduled absence of a live thoroughbred race meet in Maryland for at least 15 days.

(.09.10.01.07) (N). For the purposes of §M of this regulation, the day of the claim does not count in computing the time restrictions.

(.09.10.01.07) (O). The stewards shall report action taken by them, in reference to a violation of this regulation, to the Commission which may, in its discretion, take any further action it considers appropriate.

(.09.10.01.07) (P). A horse which was claimed in another state and entered to race in this State remains subject to the conditions of the claiming regulation in the state where the claim was made until those conditions expire.

(.09.10.01.07) (Q). Not with standing information printed in the program or other racing publications, the claimant is solely responsible for determining the horse's: (1) Age; (2) Breeding; (3) Physical condition; (4) Racing record; and (5) Sex.

Definitions (.09.10.03.01) (A). In this chapter, the following terms have the meanings indicated.

EQUINE INJURY DATABASE

In consideration of the acceptance of a nomination of any horse to any race described in this condition Book, the owner(s) and trainer of such horse consent to the collection of information related to any equine injury occurring at the Laurel Racing Assoc. Inc. ("Laurel") or The Maryland Jockey Club of Baltimore City, Inc. ("Pimlico") or Bowie Training Center ("Bowie") to such horse and entry of said information into a database maintained by The Jockey Club (the "Equine Injury Database"). Said owner(s) and trainer further agree to indemnify, release, hold harmless and covenant not to sue The Stronach Group and the Laurel Racing Assoc. Inc. ("Laurel"), The Maryland Jockey Club of Baltimore City, Inc. ("Pimlico"), and Bowie Training Center ("Bowie"), any state or track-appointed veterinarian collecting such information and The Jockey Club (collectively, the "Indemnified Parties") from and against any liability, cost, loss, or expense of any kind or nature (including, without limitation, reasonable attorneys' fees) arising from any claim, demand, or action (a) alleging that the data entered into the Equine Injury Database (either directly or indirectly) violates the rights of the owner(s), trainer or any third party, or (b) relating to any equine injury report run, published or otherwise created by any of the Indemnified Parties, including the owners, stewards, directors, officers, employees and agents of any of them (either directly or indirectly).